# Hydrological and hydraulic modeling of an intra-urban river in a transboundary basin using a regional frequency analysis

# Modelación hidrológica e hidráulica de un río intraurbano en una cuenca transfronteriza con el apoyo del análisis regional de frecuencias

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#### **Abstract**

Floods are among the most recurrent and devastating natural hazards, affecting human lives and causing severe economic damage worldwide. Arid and semi-arid regions are particularly vulnerable to intense storm events over short time periods resulting in flashfloods. These regions represent approximately 30% of the world's area and are inhabited by approximately 20% of the total population. The main objective of this study was to estimate the design storm for return periods of 20, 50, 100, and 500 years, in the semi-arid region of the New River sub-basin, in order to determine the flood areas in the main channel. In this study, an integrated model approach was proposed, consisting of developing a hydrological model for different return periods and performing a regional frequency analysis (RFA) using the L-Moments approach, in order to generate the input for hydrological and hydraulic modeling using the programs HEC-HMS and HEC-RAS. The flood areas obtained ranged from 190.55 ha to 237.83 ha, and depths from 0.10 to 6 meters, which pose a risk to the urban infrastructure of the city. The outcome of this research provides information that can be used by urban planners to reduce flood risks.

**Keywords**: Flood areas, design storm, regional frequency analysis, L-moments, HEC-HMS, HEC-RAS, SIG, simulation.

#### Resumen

Las inundaciones se encuentran entre los peligros naturales más recurrentes y devastadores, al afectar vidas humanas y causar graves daños económicos en todo el mundo. Las regiones áridas y semiáridas son particularmente vulnerables a tormentas intensas en periodos cortos debido a que provocan inundaciones súbitas. Estas regiones representan un 30% del área mundial y están habitadas por 20% de la población. El objetivo principal del presente estudio es estimar la tormenta de diseño para los periodos de retorno de 20, 50, 100 y 500 años en la región semiárida de la subcuenca del Río Nuevo, a fin de determinar las áreas de inundación del cauce principal. Se propone un modelo integrado, que consiste en desarrollar un acoplamiento del modelo hidrológico e hidráulico para diferentes periodos de retorno, alimentados con un Análisis Regional de Frecuencia (ARF), utilizando el enfoque de los Lmomentos, empleando los programas HEC-HMS y HEC-RAS. Las áreas de inundación obtenidas de 190.55 a 237.83 ha y profundidades desde 0.10 hasta 6.0 metros comprometen la infraestructura urbana de la ciudad. Los resultados de esta investigación pueden ser utilizados por organismos encargados de la planeación urbana para disminuir riesgos de inundación. **Palabras clave**: inundación, tormenta de diseño, análisis regional de frecuencia, *L*-momentos, *HEC-HMS*, *HEC-RAS*, sistema de información geográfica, simulación.

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#### Introduction

It is estimated that around 300 million people have been affected since the 1990s due to different natural disasters, and the cost of damages have increased annually until reaching 0.17% of the gross domestic product (GDP) worldwide (Trivedi, Singh, & Indian, 2015).

In accordance with the Intergovernmental Panel on Climate Change (IPCC), deviations from the average weather patterns have been observed worldwide, with a higher frequency of extreme precipitation events in most of the world (UN-HABITAT, 2011). In this sense, floods are among the most devastating and recurrent natural disasters, affecting human lives and causing serious economic damages around the world (Ouma & Tateishi, 2014).

Floods correspond to 50% of the disasters in the world related to water, putting it above famine, droughts, and epidemics, as reported by the United Nations Educational Scientific and Cultural Organization (UNESCO) (Hernández-Uribe, Barrios-Piña, & Ramírez, 2017).

Accelerated population growth and changes in land use patterns have increased human vulnerability to floods, including: mortality and direct morbidity; indirect displacement; and overall damage to crops, infrastructure, and property (Dewan, 2015).

There is a direct relationship between urbanization and changes in hydrological characteristics, such as decreased infiltration, increased runoff, and the increased frequency and height of floods, which in addition to population growth and the accumulation of assets of value, aggravate the risk of flooding in urban areas (Ouma & Tateishi, 2014). In that sense,

urban basins are the most vulnerable to these phenomena, mainly due to the dynamics of anthropogenic processes, the disappearance of buffer zones that modify the hydrological response, and the hydraulic behavior of the rivers that run through the city.

Floods can damage and disrupt the transmission and distribution of energy, paralyze transport systems, contaminate drinking water supplies and wastewater treatment facilities, increase the transport of garbage, rubble, and pollutants, and accelerate the spread of water-related diseases (UN-HABITAT, 2011).

In accordance with several authors, arid and semi-arid regions are vulnerable to climatic changes. The precipitation in these regions is primarily characterized by intense storms that typically intensify rapidly, causing flash floods (Pourreza, Samadi, Akhoond, & Ghahraman, 2016). The importance of the arid and semi-arid areas lies in the fact that 20% of the total world population lives there and they represent approximately 30% of the planet's area (Sivakumar, Das, & Brunini, 2005).

This study was carried out in the Salton Sea transboundary basin located in the semi-arid region of the Colorado River delta, adjacent to the border between the states of Baja California, Mexico and the state of California in the United States. It is estimated that between 10 and 15% of the Salton Sea inlet flow originates in Mexico, and flows north through the New River (The Salton Sea Authority, 2015). The New River is an intraurban component located in the city of Mexicali, Baja California, México, in northwestern Mexico, which drains surface runoff from local precipitation events, agricultural wastewater, water from industrial processes, and sewage.

The importance of the study in arid areas and semi-arid areas of extreme precipitation events that are high in intensity and short in duration, lies in the damages that these extreme phenomena can cause to populations, urban and agricultural infrastructure, and the economic activities of areas that are important to socioeconomic growth, as is the case of northwestern Mexico and the southwestern portion of the United States.

In the case of Imperial County in the state of California, United States, four states of emergency were declared from 1958 to 2006 due to floods. The residents presented insurance claims of \$762 416.00 (US dollars), and the floods damaged roads, sewers, public services, pipes, artificial canals, irrigation systems, and land used for agriculture (Imperial County, 2007). In 2012, a flood that affected around 30% of the crops in Imperial Valley occurred, with losses of more than \$7 million dollars (Imperial County, 2013).

The situation in the city of Mexicali is different. Unlike Imperial County, the area with the highest risk of flood is the urban center, since the New River is an intra-urban river, where educational, sports, recreational, commercial, and government infrastructure have been developed, as well as human settlements.

Considering the aforementioned, and coinciding with Jiang et al. (2009), who mentions that flood risk assessment is an important scientific basis for disaster risk management and decision-making by urban planners, the objective of this work was to determine the flood areas in the main channel of the New River, based on a design storm in the transboundary sub-basin, under standard conditions, and with return periods of 20, 50, 100, and 500 years. This study includes the use of L-Moments, which according to Wallis, Shaefer, Barker y Taylor (2007), has demonstrated its reliability for estimating the magnitude of precipitation, because of a significantly increased reliability of *in-situ* estimations.

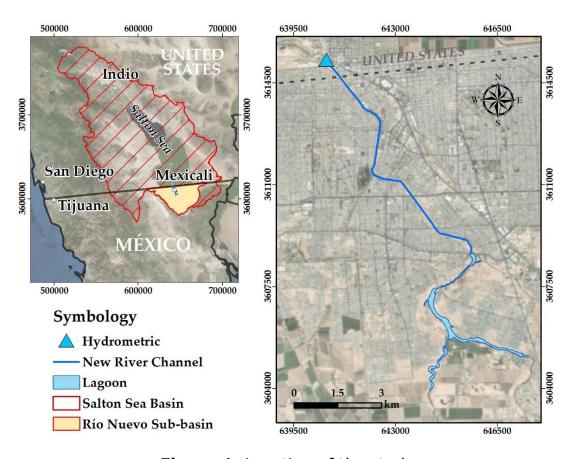
In the case of the hydrological and hydraulic analysis of the basin Mulvany (1850), we chose the use of models that allow us to collect and manage a large amount of information on the variables involved in the rain-runoff process, providing data on the spatial distribution of superficial runoff (Vargas-Castañeda, Ibáñez-Castillo, & Arteaga-Ramírez, 2015). We chose to use a semi-distributed hydrological model called the "Hydrologic Modeling System" (HEC-HMS). This program has been widely used to evaluate effects on hydrographs according to possible scenarios related to climate change, type of land use, design and management of hydraulic works, and the delimitation of flood zones (Rodríguez, González, Medina, Pardo, & Santos, 2007; López et al., 2012; Wolfs, Meert, & Willems, 2015).

For the hydraulic simulation, the River Analysis System software (HEC-RAS) was used, a series of components for the one-dimensional flow analyses of rivers, such as: (1) calculation of the constant water flow surface profile and (2) simulation of variable flow.

Area of study

The New River is located in a transboundary area in northwestern Mexico. It consists of different urban centers with different relative weightings. To the north it borders Imperial County in the state of California, United States, and to the south with the city of Mexicali and its agricultural valley in the state of Baja California (Figure 1).

For its part, the Salton Sea basin has an approximate area of 26 432 km<sup>2</sup> (Rodríguez-Burgueño, Shanafield, & Ramírez-Hernández, 2017).



**Figure 1**. Location of the study area.

According to data from the National Institute of Statistics and Informatics (INEGI), reported in the Population and Housing Census of 2010, the total population in Mexicali was 936 826 inhabitants, representing 29.7% of the total population of the state of Baja California U.S Department of the Interior Bureau of Reclamation, 2012.

The New River is part of the Colorado River delta. This river currently supplies more than 30 million people in the United States and Mexico

(Rodríguez-Burgueño, Shanafield, & Ramírez-Hernández, 2017). The Colorado River supplies water to the states of Arizona, California, Colorado, New Mexico, Nevada, Utah, and Wyoming in the United States, and to the states of Baja California and Sonora in Mexico, supplying water to irrigate almost 22 257 km² of agricultural fields and providing more than 4 200 megawatts of electric generation capacity (U.S Department of the Interior Bureau of Reclamation, 2012).

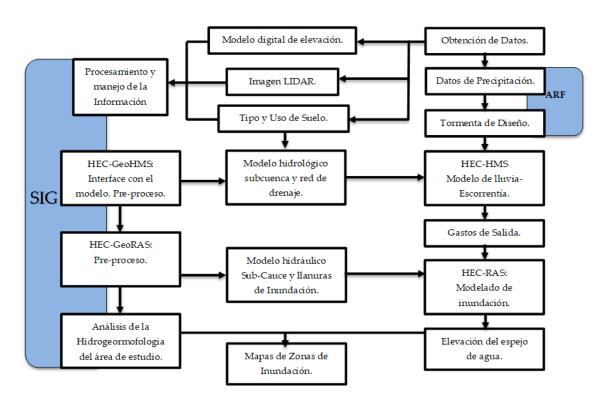
The area of the sub-basin of the New River that flows into the border with the United States is 2 066.69 km² and it has an average slope of 9.61%. The length of its main channel is 47 270 m and its slope is 3.063% (INEGI, 2017). It is worth mentioning that this study covers a section of the New River having a length of 14.17 km, and is geographically located at UTM-WGS84-Z11N, coordinates 3'608,660.30 m north and 645,613.80 m west.

#### **Materials and Methods**

A hydrological model was developed to obtain an estimated design cost for different return periods, using a regional frequency analysis with the L-Moments approach. The results were entered into the hydrological and hydraulic modeling of the New River basin to identify the flood zones in the basin's main channel. The preparation and interpretation of the model's data for the processing and visualization of geospatial data was facilitated by the use of a geographic information system (GIS) (Heimhuber, 2013). The ArcMap tool and the HEC-GeoHMS and HEC-GeoRAS extensions were used for the preparation of the model's input files.

The study used historical precipitation records from 35 meteorological stations and flow records from a hydrometric station located in the New River diversion, on the border with the United States. In the case of Mexico, they were obtained through the Rapid Climatological Information Extractor (ERIC III), the Mexican Institute of Water Technology (IMTA), and the National Water Commission (Conagua). The study also included data from a station located at the Engineering Institute of the Autonomous University of Baja California at coordinates 3'611,466.36 m north latitude

and 645,886.57 m west longitude. For the United States, the records used corresponded to the years 1930 to 2016, from the California climate data file (CalClim). The basin modeling diagram is shown in Figure 2.



**Figure 2**. Diagram of hydrological and hydraulic model based on GIS, with design storm obtained through regional frequency analysis (modified from Heimhuber, 2013).

## Regional frequency analysis with L-moments approach

Most of the regional frequency analysis procedures try to fit a distribution to the data, whose form is specified from a finite number of indeterminate parameters at the moment of the sample. In particular, asymmetry (skewness) and kurtosis are frequently used to determine the closeness of an observed sample to a postulated distribution. However, statistics

are unsatisfactory, because they are algebraically limited by the size of the sample, and in small or moderate samples, it is unusual that the asymmetry and kurtosis of the sample take a value close to the population values (Hosking & Wallis, 1997).

The L-moments, or linear moments, are an alternative system to traditional methods, with conventional moments that characterize the forms of the probability distributions and determine the distribution parameters. According to Hosking and Wallis (1997), L-moments are considered linear combinations of weighted moments. Although L-moments are a relatively recent development in statistics, they form the basis of a mathematical theory and can be used to facilitate the estimation process in regional frequency analyses.

The methodology for the regional frequency analysis used in the present study is based on the work of Wallis *et al.* (2007), and Schaefer, Barker, Taylor and Wallis (2007), as discussed by Núñez-Cobo Verbist, Ramírez-Hernández, & Hallack-Alegría (2010). It consists of four basic steps: (1) data selection, (2) identification of homogeneous regions, (3) selection of the frequency distribution, and (4) estimation of the quantile function.

#### **Data selection**

The analysis begins with the categorization of the sites into arrangements of possible homogeneous regions using the discrepancy criteria. Given that the number of stations in the regions can vary, and the series of data is relatively small, the critical values of discordance recommended by Hosking and Wallis (1997) are analyzed, according to the expression  $D_{i=\frac{1}{3}}N(U_i-\bar{U})^TA^{-1}(U_i-\bar{U})$ , where the site i is discordant if  $D_i$  is greater, depending on the number of sites analyzed in the group, as shown in Table 1. The discordance measure,  $D_i$ , is a statistical measure based on the difference between the L-moment indices of a site and the average L-moment of a group of similar sites (Malekinezhad & Zare-Garizi, 2014). It is worth mentioning that the discordance measure is a secondary indicator that supports the decision to move a station from one region to another (Núñez-Cobo, Verbist, Ramírez-Hernández, & Hallack-Alegría, 2010).

**Table 1**. Critical discordance values  $(D_i)$  according to Hosking and Wallis (1997).

Number of sites	Critical value	Number of sites	Critical value
5	1.333	11	2.632
6	1.648	12	2.757
7	1.917	13	2.869
8	2.140	14	2.971
9	2.329	15	3
10	2.491		

#### **Identification of homogeneous regions**

The criterion of seasonality and the magnitude of the average annual precipitation for the grouping of stations were used. The measures of heterogeneity serve as indicators of the degree of heterogeneity in the L-moments relationships for a group of measurements (Schaefer, Barker, Taylor, & Wallis, 2006). The criterion of homogeneity in this study is based on the work by Wallis *et al.* (2007), who suggest the ranges presented in Table 2.

**Table 2**. Critical heterogeneity values (adapted from Núñez-Cobo *et al.*, 2010).

Heterogeneity	Wallis <i>et al</i> ., 2007
Homogeneous	H<2

Possibly heterogeneous	2 <h<3< th=""></h<3<>
Heterogeneous	H>3

The H1 and H2 statistics measure the relative variability of the coefficient of L-Cv and L-Skewness (equivalent to the coefficient of conventional asymmetry) (Núñez-Cobo et al., 2010) for sites in a sub-region, calculated according to the expression proposed by Hosking and Wallis (1997),  $V = \left\{\sum_{i=1}^{N} n_i \left(\tau^{(i)} - \tau^R\right)^2 / \sum_{i=1}^{N} n_i\right\}^{1/2}$ , where the standard deviation of the sample weighted at the site is calculated, N is the number of sites proposed in the region, i represents the site that has records  $n_i$  and samples of the L-moments-ratios  $\tau^{(i)}$ ,  $\tau^i_3$ ,  $\tau^i_4$ . The regional average of L-asymmetry and L-kurtosis, it is weighted to the length of the record.

These measures compared the observed variability with that expected from a larger sample taken from a homogeneous region represented by the Kappa distribution, according to the 1997 Hosking and Wallis proposal (Schaefer *et al.*, 2006). For this study, one of the criteria used in the integration of homogeneous regions was that the stations that comprise it do not have properties that make them discordant with others that comprise it.

## Selection of the frequency distribution

After the identification of the homogeneous regions, the distribution of the probability for the behavior of the climatic data of interest was identified. The frequency distribution was chosen based on the diagram of the L-moment relationship and the goodness-of-fit measure  $Z^{Dist}$ . The goodness of fit judged how well the theoretical L-kurtosis of a distribution coincides with the regional average L-kurtosis of the observed data (Malekinezhad & Zare-Garizi, 2014). The goodness of fit was determined according to the expression  $Z^{DIST} = (\tau_4^{DIST} - t_4^R + B_4)/\sigma_4$ , where the Z statistic was used, which consisted of indicating a distribution as "acceptable" for  $Z \leq 1.64$ . This corresponds to the rejection of the

hypothetical distribution with a level of significance of 90% (Hosking & Wallis, 1997). In this study, four probability distributions that best fit the data were used (Table 3) with the aforementioned goodness-of-fit test.

**Table 3**. Probability distributions.

Normal Generalizada	$f(x) = \frac{1}{\sigma\sqrt{2\pi}} \exp\left(-\frac{(x-\mu)^2}{2\sigma^2}\right)$	$-\infty \le x \le \infty$
Pearson type III	$f(x) = \frac{\lambda^{\beta} (x - \varepsilon)^{\beta - 1} e^{-\lambda(x - \varepsilon)}}{\Gamma(\beta)}$	<i>x</i> ≥€
Generalized extreme value	$f(x) = $ $\alpha^{-1}e^{-(1-k)y-e^{-y}} $ $k \neq 0$ $y = \begin{cases} -k^{-1}\log\{1 - k(x - \xi)/\alpha\} \\ (x - \xi)/\alpha \end{cases} $ $k = 0$	$-\infty < x \le \xi + \alpha/k \ k > 0$ $-\infty < x < \infty \ k = 0$ $\xi + \alpha/k \le x < \infty \ k < 0$
Four-parameter Kappa	$f(x) = \alpha^{-1} \{1 - k(x - \xi)/\alpha\}^{1/k - 1} \{F(x)\}^{1-h}$	Upper limit $\xi + \alpha/k$ sik>0, $\infty$ if $k \le 0$ ;  Lower limit: $\xi + \alpha(1 - h^{-k})/k \text{ if } h < 0$ $\xi + \alpha/k \text{ if } h \le 0 \text{ and } k < 0,$ $y - \infty \text{ if } h \le 0 \text{ and } k \ge 0$

## **Estimation of the quantile function**

 of the frequency distribution of the site, and q(F) is the growth curve of the region (Núñez-Cobo et al., 2010).

## **Hydrological model**

The hydrological simulations were carried out using the HEC-HMS 3.2 tool from the Hydrologic Engineering Center. With this took, the rain-runoff process was represented in a simplified way, simulating the hydrogram that occurs at a certain point in the fluvial network as a consequence of an episode of rain (López *et al.*, 2012). This open source software was used since it is considered by many researchers as the most versatile model for modeling the rain-runoff process (USACE, 2016).

The model in HEC-HMS included four components: 1) model of the basin; 2) meteorological model, which indicated the input precipitation required by an element in the sub-basin (Ali et al., 2011); 3) control specification, based on which the starting point and duration of the simulation was determined; and 4) input data, used to provide the hydrological variables observed in the model, such as time series data (USACE, 2016).

## **Determination of the land use parameters**

The modeling of runoff was based on the curve number (CN) method by the Soil Conservation Service (SCS). The CN method is based on the water balance equation, which with the HEC-HMS estimates excess precipitation or effective precipitation as a function of accumulated precipitation, soil cover, land use, and antecedent moisture (Magaña-Hernández, Bá, & Guerra-Cobián, 2013). In order to more precisely determine soil types and estimate the size of their areas and the percentage of each type spatially represented in the area, 1 500 polygons were processed by a

GIS, overlaying the land use layer from the National Institute of Geography Statistics and Information Technology (INEGI) and the images obtained through the Google Earth platform. Subsequently, to obtain the CN, the values from the semi-arid regions table (Natural Resources Conservation Service) (NRCS, 1986) were considered. Finally, the model was calibrated by adjusting the CN, since this variable is the most sensitive parameter (López *et al.*, 2012).

For the control specifications of the HEC-HMS, the time lapse and the date of execution of the simulation were defined, with the meteorological model as a representation of precipitation.

The basin model, which is essentially a simplified physical representation of the basin, was prepared with HEC-GeoHMS. The results of the model included the runoff hydrology for each sub-basin as well as graphical and numerical representations of precipitation, loss, and direct runoff for each sub-basin. The concentration time of the runoff was calculated with the Kirpich method, where  $Tc = 0.000323 \left(\frac{L^{0.77}}{S^{0.385}}\right)$ , obtaining the delay time expressed as: 0.6 \* Tc.

#### **Delimitation of the sub-basin and micro-basins**

A basin is usually delineated with several sub-basins before applying the analysis with the HEC-HMS (Patel, 2009). Therefore, the delimitation of the basins and drainage networks were obtained using an MDE generated from high-resolution elevation data (LiDAR) measuring 5x5 meters, using a GIS (INEGI, 2010).

**Hydraulic model** 

Hydraulic models are used to simulate the behavior of the flow in a river's main channel and floodplain (Alaghmand, Abdullah, Abustan, & Vosoogh, 2010). The runoff design costs that were generated were used as input for the modeling in HEC-RAS. The geometry of the river was processed using the HEC-GeoRAS extension. Researchers have used this program for the management and use of water resources. The hydraulic model was developed according to three fundamental procedures: Pre-process, process-hydraulic simulation with HEC-RAS, and post-process.

#### **Pre-Process**

The geometric data from the hydraulic model were used, which consisted of: the axis of the river, cross-sections, distance of separation between sections, control points, Manning coefficient, and coefficients of contraction/expansion.

## **Process - Hydraulic simulation with HEC-RAS**

In the process stage, the boundary conditions were established upstream and downstream in the modality of permanent flow. It is worth mentioning that, due to the lack of information regarding the water level, the critical water depth was used as the boundary condition (US Army Corps of Engineers) (USACE, 2016). Finally, the mixed flow regime was defined in order to run the modeling. As part of this section, the calibration of the model was carried out by means of the flow-water relationship. The flow was obtained by *in-situ* measurements using an acoustic Doppler speedometer. The water level was obtained by means of a graduated strip (1 m) installed on the stream. This process was aimed at all parameters or coefficients being adjusted in such a way that the mathematical model reproduced the phenomenon under study (Parhi, Sankhua, & Roy, 2012).

#### Post - Process

Once the hydraulic model was calibrated, flood maps (depth) were drawn up for the different flow scenarios. The hydraulic modeling in this investigation was carried out without considering the infrastructure of the New River culvert, due to the fact that, in arid and semi-arid regions, flash flood events are caused by high intensity and short duration storms with a high degree of spatial variability. These storms usually present intense floods in very short time frames, even in large basins, resulting in significant amounts of transported sediment, which affects the hydraulic infrastructure of downstream facilities (Maksimovic, 2001). The hydraulic modeling of a 14.17 km segment of the main channel of the New River included a lagoon to the south of the city, which was analyzed by means of 143 cross-sections distributed in approximate intervals of 100 m.

## **Results and discussion**

Flood hazards in arid and semi-arid areas are poorly understood due to the lack of information about their hydrological and hydraulic behavior, affecting the vulnerability of infrastructure projects located in the floodplains (Wang, Zhang, & Baddo, 2016), such as: roads; educational, public services and governmental facilities; private property; and human settlements. The location of the sites exposed to the risk of runoff due to flash floods on the New River's main channel, and defined by using the hydrological and hydraulic model, are presented below.

## **Regional Frequency Analysis**

The lack of infrastructure at meteorological stations and the limited availability of precipitation records in Mexico complicate the selection of the most appropriate method for analysis. Therefore, a probabilistic approach, such as the regional frequency analysis, is considered as a valid option to estimate the occurrence of an extreme precipitation event, and serves as input for a hydrological model. According to the RFA results, 20 stations were defined in the Salton Sea basin that were considered homogeneous (Table 4), and the Pearson Type III distribution was selected, which was validated with the standard generalized and generalized extreme value functions (The Salton Sea Authority, 2015).

**Table 4.** Stations in the homogeneous region used in the study.

Station	North Latitude	West Lenght	РМА	L-CV	L-SKEW	L-KURT	Discordance (Di)
Brawley	634781.34	3647110.12	47.1	0.366	0.242	0.175	0.26
Bataquez	681308.65	3603156.81	36.7	0.413	0.227	0.144	1.48
Calexico	643996.85	3617742.02	44.7	0.357	0.171	0.139	0.33
Col Rodríguez	684677.21	3588577.52	36.1	0.402	0.183	0.174	1.75
Deep Canyon Lab	557860.08	3723635.24	84.4	0.315	0.278	0.224	1.82
Desert Resort RG	578003.43	3721116.77	50.8	0.334	0.212	0.155	0.19
Ejido Islitas	696293.9	3581476.88	46.0	0.361	0.234	0.236	1.14
El Centro 2	634674.78	3627480.63	45.3	0.323	0.112	0.083	2.00
Hayfield Pump PL	627051.03	3730180.46	65.1	0.345	0.211	0.135	0.32
Imperial Airport	633815.99	3635564.07	43.1	0.317	0.144	0.122	1.00
Indio Fire Station	538732.66	3729969.65	50.7	0.382	0.237	0.103	1.93
Mecca 2	585664.6	3714972.54	47.8	0.386	0.175	0.148	0.90
Mxli (Río Nuevo)	644499.45	3615420.52	49.2	0.313	0.208	0.174	0.53

Mxli C. Agrícola	617926.28	3602097.2	68.7	0.354	0.239	0.214	0.48
Niland	637453.84	3683078.33	44.5	0.358	0.265	0.222	0.79
Ocotillo 2	593590.88	3623570.42	66.5	0.333	0.186	0.194	0.66
Ocotillo Wells	580855.45	3668250.7	43.5	0.310	0.147	0.123	1.11
Palm Springs	545342.18	3743082.29	81.2	0.343	0.253	0.125	1.85
Presa Morelos	712686.4	3620860.17	33.9	0.387	0.225	0.161	0.48
Yuma Valley	713970.32	3622219.06	49.2	0.317	0.212	0.217	0.99

Later, the quantiles corresponding to a Tr of 20, 50, 100, and 500 years were defined, which are presented in Table 5.

**Table 5**. Quantiles corresponding to the return periods of 20, 50, 100, and 500 years

Quantile	Return periods	Generalized Standard	Generalized extreme value	Pearson Type III	Карра
0.950	20.00	2.25	2.25	2.26	2.26
0.980	50.00	2.79	2.81	2.75	2.79
0.990	100.00	3.20	3.25	3.10	3.19
0.998	500.00	4.21	4.36	3.91	4.16

## Characteristics of the hydrological and hydraulic models

Twenty micro-basins were defined with the HEC-GeoHMS extension during the pre-process. The point of interest was located at the outlet of the New River, in the diversion to California, United States, as shown in Figure 3.

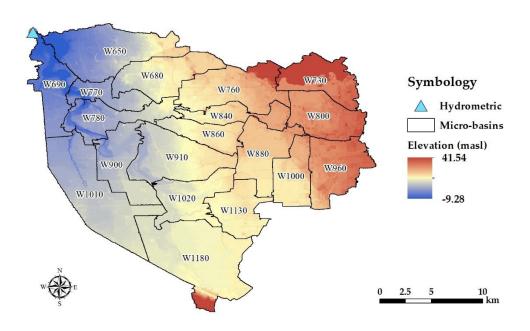


Figure 3. Micro-basins and DEM of New River.

Once the physical characteristics of the basin were defined, the project was exported to HEC-HMS, as shown in Figure 4.

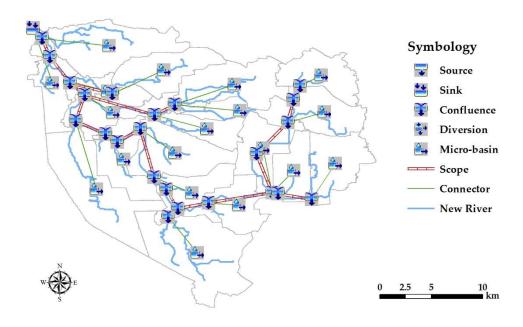


Figure 4. HEC-HMS model of the sub-basin of the New River.

Subsequently, the input data for loss, transformation, and routing methods were defined in order to establish the parameters of the model. Then, the different return periods were analyzed, resulting in the maximum costs in the simulations, which served as a basis for the hydraulic analysis (Table 6). It should be noted that in order to increase the accuracy of the CN, the use of a GIS was proposed for the abstraction of the different types of land use, in order to reduce errors due to the generalization of data taken from manuals.

**Table 6.** Input data for the model: curve number (CN), concentration time (C.T.), initial abstraction (Ia), maximum retention (S), and lag time by micro-basin (inputs), as well as the outputs of the model: drainage area (km²) and maximum costs (m³/s) per return period analyzed.

			INPUTS				OU	TPUTS		
Micro-basin	CN	T.C Kirpich	Lag Time	s	Ia	Drainage		Peak d	ischarge	1
	CN	(hours)	(min)	3	Ia	Area(km²)	Tr 20	Tr 50	Tr 100	Tr 500
W650	86	10.58	380.82	41.35	8.27	45.10	8.50	12.20	14.50	20.60
W680	82	12.13	436.81	55.76	11.15	29.67	4.30	6.40	7.80	11.50
W690	82	8.28	297.91	55.76	11.15	24.09	3.50	5.20	6.30	9.40
W730	64	6.22	223.92	142.88	28.58	25.12	0.10	0.40	0.60	1.50
W760	68	10.38	373.61	119.53	23.91	37.02	1.30	2.60	3.60	6.40
W770	88	10.54	379.48	34.64	6.93	14.49	3.10	4.40	5.10	7.20
W780	86	9.19	330.93	41.35	8.27	24.89	4.70	6.70	8.00	11.40
W800	70	11.41	410.78	108.86	21.77	26.32	0.40	0.90	1.40	2.70
W810	86	1.36	49.01	41.35	8.27	0.29	0.10	0.10	0.10	0.10
W840	72	12.53	451.02	98.78	19.76	15.79	0.80	1.40	1.80	3.10
W860	88	10.26	369.23	34.64	6.93	16.67	3.60	5.00	5.90	8.20
W880	72	12.31	443.21	98.78	19.76	27.47	0.70	1.40	2.00	3.60
W900	77	11.69	420.96	75.87	15.17	27.40	2.70	4.40	5.50	8.50
W910	82	11.84	426.12	55.76	11.15	37.76	5.50	8.20	9.90	14.70
W960	68	10.80	388.98	119.53	23.91	29.76	0.30	0.80	1.30	2.60

TOTAL					1	ECE 02	24.20	E1 20	62.10	02.20
W1180	70	28.22	1015.91	108.86	21.77	60.38	1.70	3.20	4.40	7.80
W1130	80	8.68	312.59	63.50	12.70	22.22	1.50	2.40	3.10	5.00
W1020	82	10.24	368.81	55.76	11.15	25.94	2.60	4.00	4.90	7.60
W1010	78	19.21	691.70	71.64	14.33	54.20	5.90	9.30	11.50	17.70
W1000	76	9.75	350.98	80.21	16.04	20.44	0.80	1.40	1.90	3.20

<u>TOTAL</u> <u>565.02</u> <u>34.20</u> <u>51.20</u> <u>62.10</u> <u>92.30</u>

#### Determination of flood zones by return period

Even though flood hazards in arid and semi-arid zones are poorly understood due to the lack of information about their hydrological and hydraulic behavior, they affect the vulnerability of the infrastructure located in the flood plains. Therefore, this type of analysis is necessary in order to locate the areas exposed to the risk of runoff associated with flash floods. In the case of the New River main channel, these zones were defined using a coupling of the hydrological and hydraulic models, as presented below.

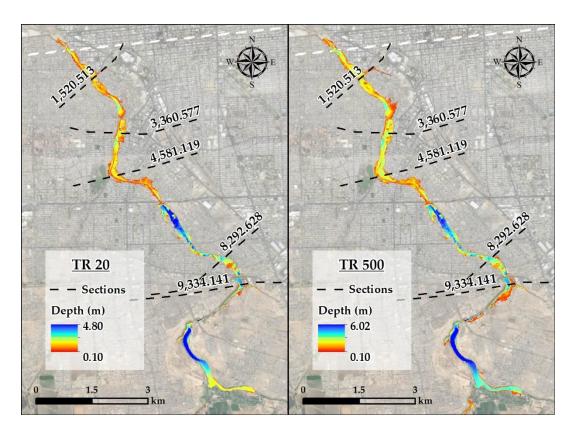
The depths and the flood areas for the different return periods analyzed are shown in Table 7, which shows that for a return period of 500 years, the depth reached 6.0 meters and the flooded area was 237.83 ha, while for 20 years, the depth reached 4.80 meters and the flooded area was 190.55 ha.

**Table 7**. Flood areas and depths for each return period analyzed.

Tr	Flood Area (ha)	Depth range (m)
20	190.55	0.10 - 4.80
50	206.25	0.10 - 5.20
100	216.66	0.10 - 5.50

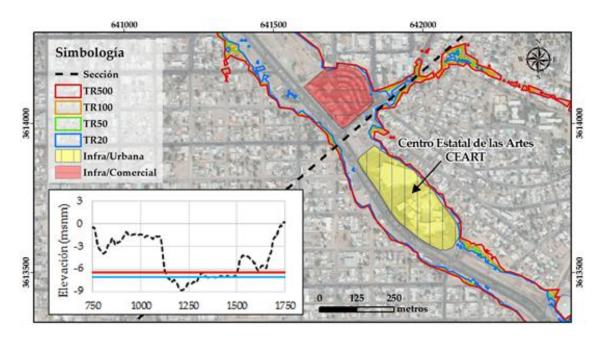
500	237.83	0.10 - 6.00		

The next figures show the representation of the flooded areas for return periods of 20 and 500 years, as well as the minimum and maximum depths obtained in the study (Figure 5).

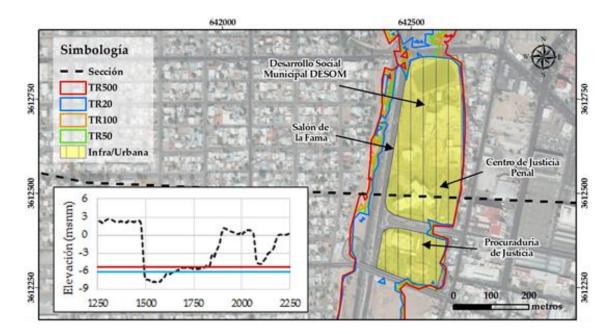


**Figure 5**. Size of the flood area and depth distribution generated by HEC-RAS for events with return periods of 20 and 500 years.

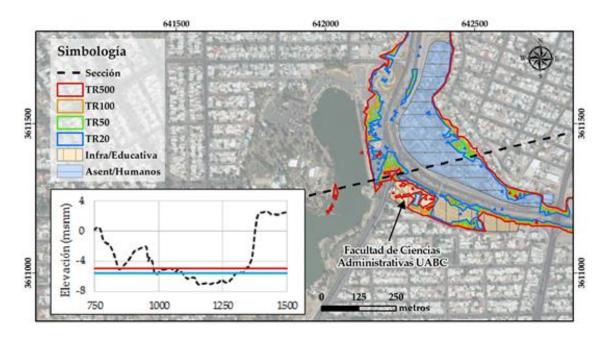
Figure 6, Figure 7, Figure 8, Figure 9 and Figure 10 show the hydraulic simulation for five large sites by means of the cross-sections 1 520.513, 3 360.577, 4 581.119, 8 292. 628 and 9 334.141, on the New River channel. As can be seen, the stream is defined by steep slopes on the east and west banks of the stream. Also seen are the flood areas that affect the city's infrastructure with a return period of 20 years. The depths of the water are also presented for each return period analyzed and each section, with the modeling in HEC-RAS.



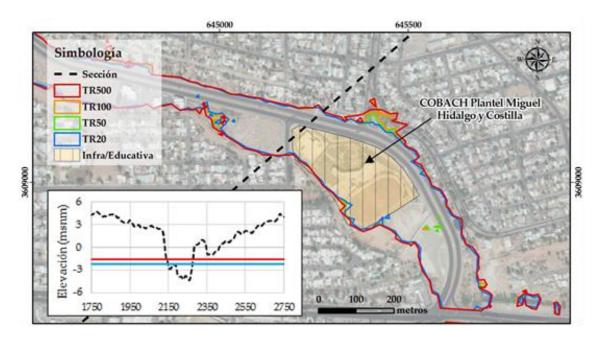
**Figure 6**. Flooded areas corresponding to 1 520.513 section, affecting commercial and urban infrastructure.



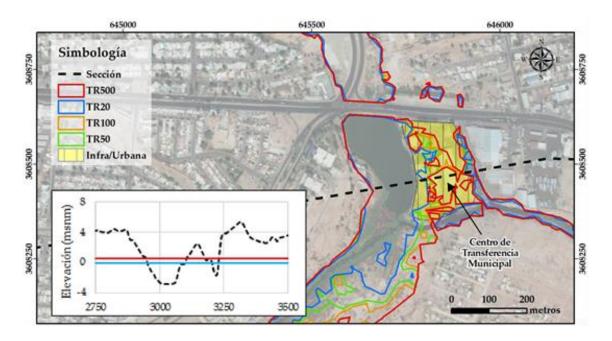
**Figure 7**. Flooded areas corresponding to a 3 360.577 section, with urban effects (public buildings and sports facilities).



**Figure 8**. Flooded areas in a 4 581.119 section with effects on human settlements and educational infrastructure (human settlements with approximately 200 families).



**Figure 9**. Flooded areas corresponding to section 8 292.628 with effects on educational infrastructure.



**Figure 10**. Flooded areas corresponding to section 9 334.141 section with effects on urban infrastructure (Mexicali Solid Urban Waste Transfer Center).

# **Conclusions**

With the support of an RFA and L-Moments, the inputs can be obtained for hydrological and hydraulic models in an area with few weather stations and historical information records. Therefore, the RFA is a useful and reliable tool for identifying the different return period quantiles and estimating the height of the precipitation that will be used as input in the hydrological model. It is worth mentioning that the management of the GIS information system using the HEC-GeoRAS and HEC-GeoHMS extensions enabled the preparation of the input data for the coupling of the hydrological model HEC-HMS with the hydraulic model in HEC-RAS. The result of this coupling of models for the semi-arid region in the transboundary basin of the New River is relevant because it is the first time it has been done, and therefore, it is expected to serve as a basis for future hydrological and hydraulic analyses of the area.

The accelerated growth of the city is a determining factor in the increase of impermeable sites in some areas of the urban area, and in the volume of runoff discharge. Therefore, it is recommended to periodically evaluate and adjust the CN of each micro-basin, particularly in the urban areas surrounding the runoff.

Although it is true that the increase in the magnitude of the precipitation for the return periods of 20, 50, 100, and 500 years generated increases in the volume of discharge, the flood area did not increase significantly. This is because the main channel of the New River is defined by pronounced slopes on its eastern and western banks. Therefore, the most significant threat identified in an extreme precipitation event in the New River is related to the depth of the water, which could reach 4.80 m for a TR of 20 years and 6.0 meters for a TR of 500 years. It should be mentioned that the increase in depth during these precipitation events represents a threat to this area, given the development of roads, human settlements, public and private buildings that house government activities, and educational facilities. Another immediate effect relates to the roads that connect the city from east to west, and intersect in the New River, since according to the flood maps, there is a road discontinuity that divides the city in two parts, making communication difficult. This result can be observed in any of the four return periods analyzed.

It is proposed that this analysis be carried out at the micro-basin level to establish the level of water logging and flooding in different parts of the city. In addition, the hydraulic behavior of the New River embankment should be analyzed by including it as one of the input variables of the model, in order to optimize the results obtained in HEC-RAS.

The results of this research will be useful to urban planners and municipal, state, and federal authorities, as well as civil protection systems and society in general, in order to make decisions concerning the management of strategies aimed at preventing disasters and to take steps that provide comprehensive solutions to the management of the risks associated with flash floods.

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